# RECEIVED CENTRAL FAX CENTER

OCT 1 8 2004

# FACSIMILE TRANSMISSION TO USPTO

CERTIFICATION OF FACSIMILE TRANSMISSION:

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING FACSIMILE

TRANSMITTED TO THE UBPTO ON THE DATE SHOWN BELOW:

Date of Transmission: 10—1804

Name of Person Making Micole Barreze

Signature: 

MCCOLL BCCCCCC

10—11510-1 1018/64

96

DOCUMENT(S) FAXED: (MARKED WITH X)

PAGES ATTACHED

Re Applic of	Carl J. Radens et al.			
Docket No.	FIS920000011U\$2			
Serial No.	09/873,537			
Filing Date	June 4, 2001			

Attached: Transmittal of Appeal Brief, and Appeal Brief

Steven Capella

PLEASE DELIVER TO:

**EXAMINER:** Monica Lewis

ART UNIT: 2812

Attorney

PHONE NO: 571-272-1838 FAX NO: 703-872-9306

THIS MESSAGE IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED, AND MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL AND EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT, OR THE EMPLOYEE OR AGENT RESPONSIBLE FOR DELIVERING THE MESSAGE TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE TO US AT THE ADDRESS TO THE RIGHT VIA THE US. POSÍAL SERVICE.

INTERNATIONAL BUSINESS MACHINES CORPORATION

Intellectual Property Law
East Fishkill Facility
2070 Route 52
Hopewell Junction
New York 12533-6531

Fax: 845-892-6363 Phone: 845-894-2580

TRANSMITTAL OF APPEAL BRIEF (Large Entity)					Docket No. FIS920000011US2		
In Re Application Of:							
Carl J. Radens et al.							
Application No. Filing Date 09/873,537 June 4, 2004		Examiner  Monica Lewis	Customer No. 32074	Group Art Unit 2812	Confirmation No. 4948		
Invention: Dual Damascene Anti-Fuse with Via Before Wire							
COMMISSIONER FOR PATENTS:							
Transmitted herewith in triplicate is the Appeal Brief in this application, with respect to the Notice of Appeal filed on August 8, 2004							
The fee for filing this Appeal Brief is: \$340.00							
☐ A check in the amount of the fee is enclosed.							
The Director has already been authorized to charge fees in this application to a Deposit Account.							
The Director is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 09-0458 FI -308							
Payment by credit card. Form PTO-2038 is attached.							
WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
Dated: 10/18/04							

Steven Capella Registration No. 33,086 Telephone No. 845-894-3669 Fax No. 845-892-6363

CERTIFICATION OF PACSIMILE TRANSMISSION:

I HEREBY CRETIFY THAT THIS CORRESPONDENCE IS BEING FACSIMILE
TRANSMITTED TO THE USPTO OF THE DATE SHOWN BELOW:

Date of Transmission:10-jet-04
Rame of Person Waking Nicole Barrese

signature: Micoll

Burne 10115/01-

10/18/04 23

I hereby certify that this correspondence is being deposited by FACSIMILE to the Commissioner of Patents, PO Box 1450, Alexandria, VA 22313-1450 on October 18, 2004 by Nicole Barrese.

Acoll Bourse 10-15-04 10118104 AB

RECEIVED
CENTRAL FAX CENTER

OCT 1 8 2004

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit 2822

In re application of

October 18, 2004

Carl J. Radens et al.

Examiner: Monica Lewis

Serial No.: 09/873,537

Confirmation No.: 4948

Filed: June 4, 2001

IBM Corporation

Dept. 18G/Bldg, 300-482

Title: DUAL DAMASCENE ANTI-FUSE

2070 Route 52

WITH VIA BEFORE WIRE

Hopewell Junction, NY

12533-6531

#### APPEAL BRIEF

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

This is an appeal from the Final Rejection of claims 22-30. A correct copy of the claims is attached in the Appendix.

#### Real Party in Interest

The real parties in interest are International Business Machines

Corporation per an assignment recorded in the US Patent and Trademark Office at Reel/Frame: 011199/0187 on October 16, 2000 and Infineon Technologies

North America Corp. per an assignment recorded in the US Patent and

Trademark Office at Reel/Frame: 011198/0060 on October 16, 2000.

1

# Related Appeals and Interferences

None.

#### Status of Claims

Claims 22-30 are pending.

#### Status of Amendments

No amendments after Final Rejection have been submitted.

# Summary of the Claimed Subject Matter

The invention centers on novel interconnect structures having an anti-fuse formed as a layer having openings that define via locations. The structures of the invention advantageously incorporate anti-fuses at reduced manufacturing cost. See the specification at page 4, lines 10-29, Figures 2e, 2f and 3.

# Grounds of Rejection to be Reviewed on Appeal

- Claims 22, 23, 26, 27, 29 and 30 are rejected under 35 USC 102(b) 1. as being anticipated by Dixit et al. (US Pat. 5233217).
- 2. Claim 24 is rejected under 35 USC 103(a) as being obvious over Dixit et al. (US Pat. 5233217) in view of Chang (US Pat. 5807786).

- 3. Claim 25 is rejected under 35 USC 103(a) as being obvious over Dixit et al. (US Pat. 5233217) in view of Go et al. (US Pat. 5592016).
- 4. Claim 28 is rejected under 35 USC 103(a) as being obvious over Dixit et al. (US Pat. 5233217) in view of Shroff et al. (US Pat. 6515343).

# <u>Argument</u>

1. Claims 22, 23, 26, 27, 29 and 30 are rejected under 35 USC 102(b) as being anticipated by Dixit et al. (US Pat. 5233217).

Dixit et al. (US Pat. 5233217) discloses conventional anti-fuse structures where the antifuse material is deposited into formed vias. Dixit et al. does not disclose or suggest or suggest an antifuse layer which defines interconnect via locations and is also an antifuse. Appellants specifically note that layer 11 of Figure 1 G does not form part of an antifuse path.

For these reasons, appellants submit that Dixit et al. does not anticipate the appealed claims.

2. Claim 24 is rejected under 35 USC 103(a) as being obvious over Dixit et al. (US Pat. 5233217) in view of Chang (US Pat. 5807786).

Dixit et al. (US Pat. 5233217) discloses conventional anti-fuse structures where the antifuse material is deposited into formed vias. Dixit et al. does not disclose or suggest or suggest an antifuse layer which defines interconnect via

locations and is also an antifuse. Appellants specifically note that layer 11 of Figure 1 G does not form part of an antifuse path.

Chang et al. (US Pat. 5807786) discloses antifuse structures using various antifuse materials. Chang et al. does not disclose or suggest or suggest an antifuse layer which defines interconnect via locations and is also an antifuse. Appellants submit that the combination of the teachings of Dixit et al. and Chang et al. would still result in a structure lacking antifuse layer which defines interconnect via locations and is also an antifuse.

For these reasons, appellants submit that the combination of Dixit et al. with Chang et al. does not render the appealed claim obvious.

3. Claim 25 is rejected under 35 USC 103(a) as being obvious over Dixit et al. (US Pat. 5233217) in view of Go et al. (US Pat. 5592016).

Dixit et al. (US Pat. 5233217) discloses conventional anti-fuse structures where the antifuse material is deposited into formed vias. Dixit et al. does not disclose or suggest or suggest an antifuse layer which defines interconnect via locations and is also an antifuse. Appellants specifically note that layer 11 of Figure 1 G does not form part of an antifuse path.

Go et al. (US Pat. 5592016) discloses anti-fuse structures which are located above or below vias. Go et al. does not disclose or suggest or suggest an antifuse layer which defines interconnect via locations and is also an antifuse. Appellants submit that the combination of Go et al. with Dixit et al. would only result in conventional antifuses of Go et al. being formed in combination with damascene interconnect structures.

For these reasons, appellants submit that the combination of Dixit et al. with Go et al. does not render the appealed claim obvious.

4. Claim 28 is rejected under 35 USC 103(a) as being obvious over Dixit et al. (US Pat. 5233217) in view of Shroff et al. (US Pat. 6515343).

Dixit et al. (US Pat. 5233217) discloses conventional anti-fuse structures where the antifuse material is deposited into formed vias. Dixit et al. does not disclose or suggest or suggest an antifuse layer which defines interconnect via locations and is also an antifuse. Appellants specifically note that layer 11 of Figure 1 G does not form part of an antifuse path.

Shroff et al. (US Pat. 6515343) discloses various antifuse structures and materials. Shroff et al. does not disclose or suggest or suggest an antifuse layer which defines interconnect via locations and is also an antifuse. Appellants submit that the combination of Shroff et al. with Dixit et al. would only result in conventional antifuses of Shroff et al. being formed in combination with damascene interconnect structures.

For these reasons, appellants submit that the combination of Dixit et al. with Shroff et al. does not render the appealed claim obvious.

# Conclusion

Based on the above arguments, appellants submit that the present claims are patentable over the prior art of record that the rejections under 35 USC 102(b) and 35 USC 103(a) should be reversed.

> Respectfully submitted, Carl J. Radens et al.

> > Steven Capella, Attorney

Reg. No. 33,086

Telephone: 845-894-3669